

DATE: April 04, 2019

CIRCULARS

RBI

- Marginal Standing Facility
- Liquidity Adjustment Facility – Repo and Reverse Repo Rates

NSE

- COMMODITY DERIVATIVES – SETTLEMENT SCHEDULE FOR MAY 2019
- Settlement schedule for April 2019 – Physical Settlement in Equity Derivatives
- CM – Settlement calendar for May 2019
- SEBI Order in the matter of Cat Technologies Limited
- Segmental Surrender of Membership
- SAT Order in the matter of DPSC Limited
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- List of securities moving to Stage I of Graded Surveillance Measure (GSM)
- Complaint of illegal deposit raising activities by Sagar Investments and related entities
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Notification of SEBI (Payment of Fees) (Amendment) Regulation, 2019

BSE

- Role of Sub-Broker (SB) vis-a-vis Authorized Person (AP)
- Mock Trading on Saturday, April 06, 2019 for Commodity Derivatives segment
- Mock Trading on Saturday, April 06, 2019 for Currency Derivatives segment
- Mock Trading on Saturday, April 06, 2019 for Equity Derivatives segment
- Mock Trading on Saturday, April 06, 2019 for Equity segment
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Addition of new gateways in production and simulation environment
- Complaint of illegal deposit raising activities by Sagar Investments and related entities
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Opening of Offer to Buy – Acquisition Window (Takeover) for Grandeur Products Limited

MCX

- Mock Trading
- Revision in Expiry Dates of Silver, Copper and Zinc Options April 2019 Contracts
- De-accreditation of Warehouse (Cotton Bales & Castor Seed)
- Warehouse for Delivery of Castor Seed

SEBI

- Streamlining the Process of Public Issue of Equity Shares and convertibles- Extension of time lime for implementation of Phase I of Unified Payments Interface with Application Supported by Block Amount
- Order in the matter of alleged market manipulation using GDR Issues by CAT Technologies Limited
- Adjudication Order in respect Garuda Plant Products Limited in the matter of EPC Industries Limited

- Empanelment of Insolvency Professionals (IPs) to be appointed as Administrator, remuneration and other incidental and connected matters under the Securities and Exchange Board of India (Appointment of Administrator and Procedure for Refunding to the Investors) Regulations, 2018.
- Settlement Order in the matter of Axis Trustee Services Ltd.
- Adjudication order in respect of Sarva Mangalam Gajanan Steel Private Limited in the matter of Trading in Illiquid Stock Options on BSE

NSDL

- No New Circular

NCDEX

- Shadow Hammer attack on ASUS Computers
- Mock Trading (Contingency Drill) on April 06, 2019

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